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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Noder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/293,533 RANSMITTAL Filing Date April 15, 1999 2 9 2001 **FORM** Malaya CHATTERJEE First Named Inventor **Group Art Unit** 1642 **Examiner Name** L. Helms (to be used for all correspondence after initial filing) 134 + Exhibit Total Number Of Pages In This Submission Attorney Docket No. 304142000201 tabs A-C ENCLOSURES (check all that apply) Fee Transmittal Form - 1 page + **Assignment Papers** After Allowance Communication to duplicate copy for fee processing (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply - 17 pages Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** Affidavits/declarations Petition to Convert to a (including Exhibits A-C) - 112 Status Letter Provisional Application pages + Exhibit tabs A-C Power of Attorney, Revocation Other Enclosure(s) (please identify Extension of Time Request - 1 page Change of Correspondence Address below): Copy of Limited Recognition Terminal Disclaimer Under 37 CFR §10.9(b) - 1 page Return receipt postcard **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 Paul S. Naik (Limited Recognition Under 37 CFR §10.9(b) (copy of certificate attached) for Catherine M. Polizzi (Reg. No. 40,130) Individual Name Signature Date May 29, 2001

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FEE TRANSMITTAL **FOR FY 2001** MAY 2 9 2001

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Complete if Known Application Number 09/293.533 RECEIVED Filing Date April 15, 1999 JUN 0 5 2001 First Named Inventor Malaya CHATTERJEE **Examiner Name** L. Helms TECH CENTER 1600/2900 Group Art Unit 1642

304142000201

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT FEE CALCULATION (continued) The Commissioner is hereby authorized to charge indicated 1. 🗷 3. ADDITIONAL FEES fees and credit any overpayments to: Entity Large **Entity** Small Deposit 03-1952 Fee Account Fee Fee Fee Paid Number Code (\$) Code (\$) **Fee Description** Deposit Morrison & Foerster LLP Account 105 130 205 65 Surcharge - late filing fee or oath Name Surcharge - late provisional filing X Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 127 50 25 227 fee or cover sheet X 130 Applicant claims small entity status. See 37 CFR 1.27 139 130 139 Non-English specification For filing a request for ex parte 2. 🔲 Payment Enclosed: 147 2.520 147 2.520 Requesting publication of SIR prior ☐ Check ☐ Credit Card ☐ Money Order ☐ Other 112 920* 112 920* Requesting publication of SIR after **FEE CALCULATION** 113 1.840* 113 1.8401 Examiner action BASIC FILING FEE 115 110 215 55 Extension for reply within first month Extension for reply within second 116 216 195 390 month Entity Small Entity Large Extension for reply within third 117 445 Fee (\$) Fee Description 890 217 445 Fee (\$) month Fee Paid Extension for reply within fourth 118 1,390 218 695 month 101 710 201 355 Utility filing fee 128 1,890 228 945 Extension for reply within fifth month 106 320 206 160 Design filing fee 310 155 119 219 Notice of Appeal 107 490 207 245 Plant filing fee 120 310 220 155 Filing a brief in support of an appeal 108 710 208 355 Reissue filing fee 121 270 221 135 Request for oral hearing Petition to institute a public use 114 150 214 75 Provisional filing fee 138 1,510 138 1,510 proceeding 140 110 240 55 Petition to revive - unavoidable SUBTOTAL (1) (\$)0.00 141 1,240 241 620 Petition to revive - unintentional **EXTRA CLAIM FEES** 142 1.240 242 620 Utility issue fee (or reissue) Extra Fee from 143 440 243 220 Design issue fee Claims Total Claims 38 - 28** = 10 9 \$90 144 600 244 300 Plant issue fee Independent 40 122 130 \$0 130 122 Petitions of the Commissioner Petitions related to provisional **Multiple Dependent** 123 50 123 50 \$0 applications Submission of Information 126 180 126 180 Disclosure Stmt Recording each patent assignment Entity Small Entity Fee (\$) Fee Description 581 40 581 40 per properties (times number of Fee Code (\$) properties) Filing a submission after final 103 18 203 Claims in excess of 20 146 710 355 246 rejection (37 CFR § 1.129(a)) For each additional invention to be 102 202 40 Independent claims in excess of 3 149 710 355 249 examined (37 CFR § 1.129(b)) Request for Continued Examination 270 104 135 Multiple dependent claims, if not paid 710 279 355 (RCE) **Reissue independent claims over Request for expedited examination 109 80 40 209 169 900 169 900 original patent of a design application Reissue claims in excess of 20 and 110 over original patent Other fee (specify) SUBTOTAL (2) (\$)90.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)445.00 ** or number previously paid, if greater; For reissues, see above. SUBMITTED BY Complete (if applicable) Registration No Name (Print/Type) Paul S. Naik Telephone Under 37 CFR §10.9(b) (copy of certificate attached) (Attorney/Agent) for Catherine M. Polizzi (650) 813-5651

(\$)535.00

Attorney Docket No

40,130

Date

May 29, 2001

Signature

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